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Analysis and Design Methodology of Convolutional Neural Networks mapping on Reconfigurable Logic
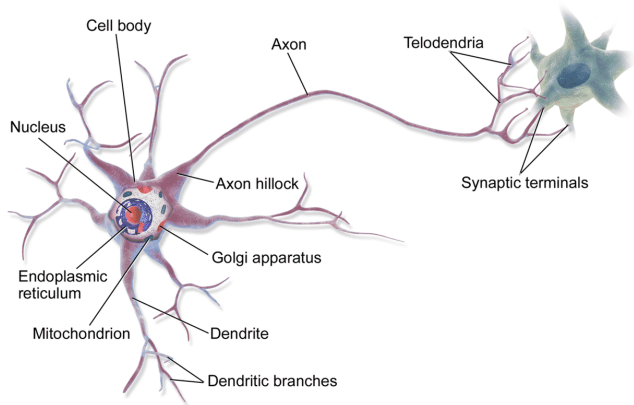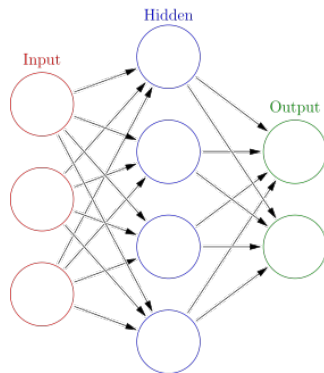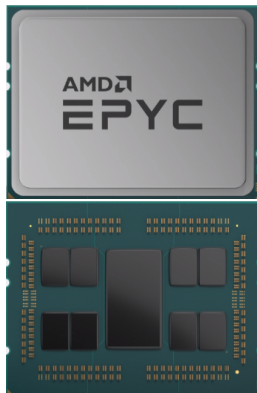
Diploma Thesis

Table of Contents

What are Neural Networks?

Representation of Artificial Neural Networks

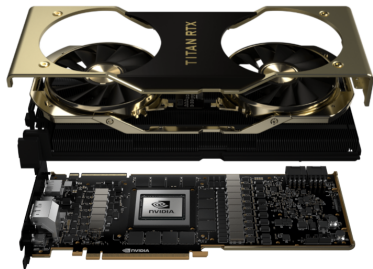## ANNs on CPUs

AMD Epyc 7002 series chip

+ Easy development
+ High clock frequency
+ Advanced Vector Extensions (AVX)
+ Streaming SIMD Extensions (SSE)

- Costly
- Non-scalable
- Energy inefficient
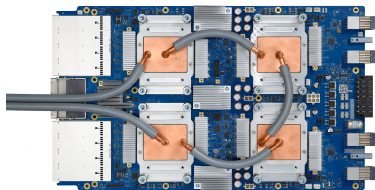- Traditional Low Bandwidth Memory - up to 50 GB/s

ANNs on GPUs

NVIDIA Titan RTX card

+ Relatively easy development
+ Very high parallelism - Thousands of cores
+ Specialized Tensor Cores
+ Vector processing - Streaming Multiprocessors
+ High Bandwidth Memory - up to 750 GB/s
+ Multiple GPUs in a system

- Very power hungry
- Costly to scale up
- Increased latency

ANNs on ASICs

Google TPU v3

+ Best parallelism
+ Lowest power & energy consumption
+ High Bandwidth Memory
- Extremely expensive to design and produce
- Serve a single purpose
- Can become deprecated fast - AI field is still developing

ANNs on FPGAs

FORTH QFDB

+ Flexible
+ Low power & energy consumption
+ Low latency
+ Standalone

- Difficult to develop
- Constrained resources
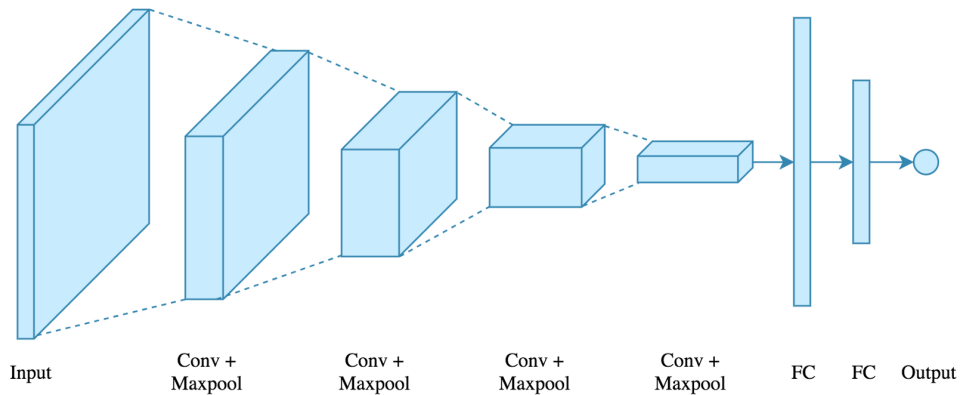
What is Reconfigurable Logic

- Look-Up Tables (LUTs)
- Flip-Flops (FFs)
- Block-RAM (BRAM)
- Ultra-RAM (URAM)
- Digital Signal Processing (DSP) blocks
- Hard Processor cores (SoC/MPSoC devices)
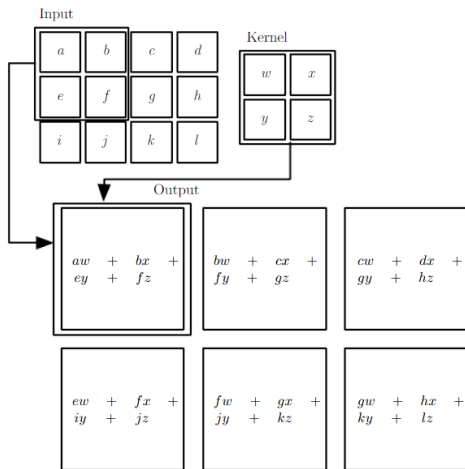- DDR & HBM (modern FPGAs)

Neural Networks

ANN Architectures

- Multiclass Perceptron
- Autoencoder
- Convolutional
- Recurrent
- Long short-term memory

Typical Convolutional Neural Network

Input   Conv + Maxpool   Conv + Maxpool   Conv + Maxpool   Conv + Maxpool   FC   FC   Output

The Convolution Layer

Input

a	b	c	d
e	f	g	h
i	j	k	l

Kernel

w	x
y	z

Output

$aw + bx + ey + fz$	$bw + cx + fy + gz$	$cw + dx + gy + hz$
$ew + fx + iy + jz$	$fw + gx + jy + kz$	$gw + hx + ky + lz$

The Max-Pooling Layer

The Fully-Connected Layer

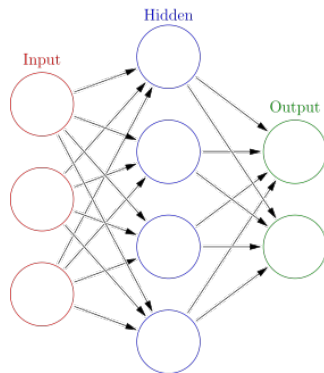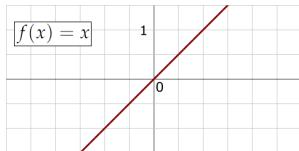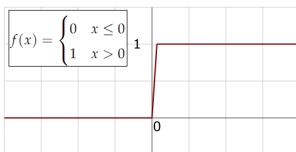$$\text{Output}(i) = \text{Bias}(i) + \sum_{j=1}^{N} \text{Input}(j) * \text{Weight}(i, j), \text{ for } i = 1, 2, ..., M$$

Activation Functions

$f(x) = x$

Identity

$$f(x) = \begin{cases} 0 & x \leq 0 \\ 1 & x > 0 \end{cases}$$

Binary step

$$f(x) = \sigma(x) = \frac{1}{1+e^{-x}}$$

Sigmoid

$$f(x) = tanh(x) = \frac{e^x - e^{-x}}{e^x - e^{-x}}$$

tanh

$$f(x) = \begin{cases} 0 & x < 0 \\ x & x > 0 \end{cases}$$

ReLU

$$f_i(\mathbf{x}) = \frac{e^{x_i}}{\sum_{j=1}^{J} e^{x_j}}$$

Softmax

CNN Architectures: LeNet-5

INPUT 32x32 — C1: feature maps 6@28x28 — S2: f. maps 6@14x14 — C3: f. maps 16@10x10 — S4: f. maps 16@5x5 — C5: layer 120 — F6: layer 84 — OUTPUT 10

Convolutions — Subsampling — Convolutions — Subsampling — Full connection — Full connection — Gaussian connections

CNN Architectures: AlexNet

CNN Architectures: ZFNet

CNN Architectures: GoogLeNet / Inception

CNN Architectures: VGGNet

	Number of Parameters (millions)	Top-5 Error Rate (%)
VGG-11	133	10.4
VGG-11 (LRN)	133	10.5
VGG-13	133	9.9
VGG-16 (Conv1)	134	9.4
VGG-16	138	8.8
VGG-19	144	9.0

CNN Architectures: ResNet

Related Work

Software Frameworks

Software Frameworks: TensorFlow

- By Google
- Most popular
- Lower-level - Much coding - High Configurability
- Python, Javascript, C++, C#, Java, Go & Julia interfaces
- Targeted for production
- Static computation graph - Efficient but less flexible
- CPU, GPU & TPU acceleration
- Server, Mobile & Embedded platforms

Software Frameworks: PyTorch

- By Facebook
- Based on Torch
- CPU & GPU acceleration
- Dynamically updated graph
- Targeted for prototyping & research
- Contains many pre-trained models

Software Frameworks: Keras

- Higher-level
- Back-ends: TensorFlow, Theano & CNTK
- Easy huge models - Less configurable
- Targeted for learning & prototyping

Software Frameworks: Caffe

Caffe

- By Berkley, University of California
- Written in C++ - Python interface
- CPU & GPU acceleration
- Not all Neural Networks supported
- Caffe2 merged with PyTorch

Hardware Frameworks

Hardware Frameworks: Google TPU

Google TPU v3

- By Google since 2015
- Used with TensorFlow
- Accelerate 95% of their AI needs
- Publicly available on Google Compute Engine since 2017
- Initially only inference & 8-bit fixed-point
- 200x compared to Intel Haswell CPU
- 70x compared to NVIDIA K80 GPU
- Version 2 and above add training & floating-point

Hardware Frameworks: Google TPU v1 Block Diagram

TPU v1 Block Diagram

- Operate on matrices - GPUs operate on vectors
- 2x Matrix Multiplier Units (MXUs)
- MXU based on systolic arrays
- 16k MAC ops/cycle
- Up to 128GB HBM (TPU v3)
- 92 TOPS

Hardware Frameworks: Google TPU v3 Pods

TPU v3-32
(32 cores, 4x4 slice)

TPU v3-128
(128 cores, 8x8 slice)

TPU v3-512
(512 cores, 16x16 slice)

TPU v3-1024 (1024
cores, 16x32 slice)

- 2048 TPU Cores
- 32TB HBM
- 92 PFLOPS
- Suitable for very large models (weeks/months of training) - no custom operations

FPGA Frameworks: Xilinx CHaiDNN

- Released in February 2018 - Targeted for CNN inference
- 6-bit & 8-bit fixed-point - variable through layers
- Similar to single-precision floating-point
- Dynamic fixed-point Quantization & Xilinx Quantizer
- 128-1024 Double-Pumped DSPs - Up to 700MHz - URAM supported
- Unsupported layers added via Software
- Fully-Connected & SoftMax layers implemented through Software
- Hardware & Software layers run in parallel
- PetaLinux - Caffe framework
- DietCHai for smaller MPSoCs

FPGA Frameworks: Xilinx DPU

- Released in February 2019 - Replaces CHaiDNN - Still in development
- Targeted for CNN inference - 8-bit fixed-point
- On-Chip memory utilized as buffer
- All layers are hardware accelerated
- Up to four DPU cores in a single DPU IP
- Double Data Rate / Double-Pumped DSPs
- 512-4096 operations per cycle per core

FPGA Frameworks: Xilinx DPU v1

- 96x16 DSP Systolic Array

FPGA Frameworks: Xilinx DPU v2

- Hybrid Computing Array
- Processing Elements - based on fine grained building blocks (multipliers, adders, accumulators)
- Deep Pipeline

FPGA Frameworks: Xilinx DPU v3

- Multiple Batch Engines
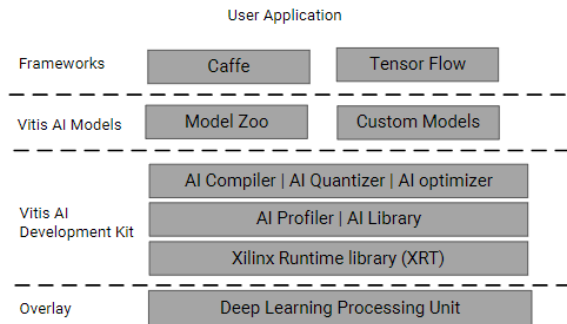- Multiple DPU Cores

FPGA Frameworks: Xilinx Vitis AI

User Application

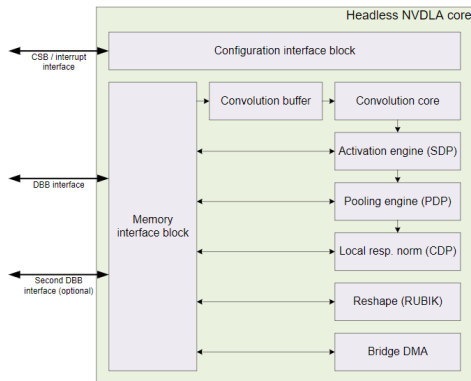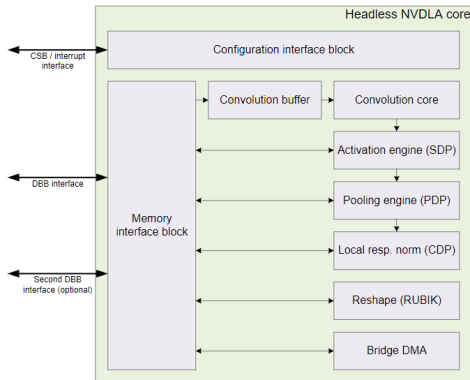| Frameworks | Caffe | Tensor Flow |
Vitis AI Models	Model Zoo	Custom Models
Vitis AI Development Kit	AI Compiler	AI Quantizer	AI optimizer
	AI Profiler	AI Library
	Xilinx Runtime library (XRT)
Overlay	Deep Learning Processing Unit

- Released in December 2019
- High-level abstraction
- AI inference applications
- Based on Xilinx DPU
- Optimized IPs, tools & libraries
- PetaLinux
- Instruction optimization - Vitis AI Compiler
- Vitis AI Quantizer - 8-bit fixed point parameters

FPGA Frameworks: NVIDIA Deep Learning Accelerator (NVDLA)

Headless NVDLA core

- Released in Q3 2017
- Free & Open architecture
- Goal to standardize inference DL accelerator development
- Headless implementation: Manager is the main system processor
- Headed implementation: Manager is a companion microcontroller
- Modular & Highly customizable
- Suitable for both FPGAs & ASICs

FPGA Frameworks: NVIDIA Deep Learning Accelerator (NVDLA)

- Binary and 4-bit integer up to 64-bit floating-point
- Convolution core
- Single Data processor
- Planar Data processor
- Channel Data processor
- Data Reshape Engine
- Memory-to-Memory or Pass-Through

Theoretical Modeling & Robustness Analysis

PyTorch, C/C++, MATLAB

PyTorch → pure Python → C/C++ & MATLAB

- Replicate PyTorch functionality
- Evaluation using PyTorch
- PyTorch pre-build & pretrained AlexNet as a reference
- 2500 images of Kaggle cats & dogs database
- Better understanding of underlining algorithms
- Explore Hardware implementation opportunities
- Quantization techniques → reduce memory footprint
- Algorithmic optimizations
- Minimize hardware resources and optimize performance using various tools

Algorithms

- Convolution Layer
- Max-Pooling Layer
- Fully-Connected Layer
- ReLU
- SoftMax

Memory Footprint

- Classic hardware architectures $\rightarrow$ Compute bound
- ASICs & FPGAs $\rightarrow$ Memory bound
- Highest benefit: Memory requirements fit into BRAM (order of MBs)
- Otherwise, external memory used $\rightarrow$ latency & IO stalls
- Goal: Minimize memory footprint & bandwidth

Memory Footprint: AlexNet Parameters (float32)

Layer	#Parameters	Footprint	Memory (%)
Conv1	$64 * 3 * 11 * 11 = 23232$	92.92KB	0.04
Conv2	$192 * 64 * 5 * 5 = 307200$	1.22MB	0.5
Conv3	$384 * 192 * 3 * 3 = 663552$	2.65MB	1.09
Conv4	$256 * 384 * 3 * 3 = 884736$	3.53MB	1.45
Conv5	$256 * 256 * 3 * 3 = 589824$	2.35MB	0.97
FC1	$9216 * 4096 = 37748736$	150.99MB	61.79
FC2	$4096 * 4096 = 16777216$	67.10MB	27.46
FC3	$4096 * 1000 = 4096000$	16.38MB	6.70
Total	61090496	244.36MB	100

Memory Footprint: AlexNet Data Stages (float32)

Layer	#Data	Footprint	Memory (%)
Image	$3 * 224 * 224 = 150528$	150.52KB	6.07
Conv1	$64 * 55 * 55 = 193600$	774.40KB	31.22
MaxPool1	$64 * 27 * 27 = 46656$	186.62KB	7.52
Conv2	$192 * 27 * 27 = 139968$	559.87KB	22.57
MaxPool2	$192 * 13 * 13 = 32448$	129.79KB	5.23
Conv3	$384 * 13 * 13 = 64896$	259.58KB	10.46
Conv4	$256 * 13 * 13 = 43264$	173.05KB	6.98
Conv5	$256 * 13 * 13 = 43264$	173.05KB	6.98
MaxPool3	9216	36.86KB	1.49
FC1	4096	16.38KB	0.66
FC2	4096	16.38KB	0.66
FC3	1000	4KB	0.16
Total	682856	2.48MB	100

Memory Footprint Reduction

- Data type bit-width shortening (float64-float16)
- Simpler data types (fixed-point/integers)
- Binary
- Quantization
- Quantization aware training
- Compression
- K-Means clustering
- Second Level Codebook

Diploma Thesis

Introduction Neural Networks Related Work **Theoretical Modeling** Architecture Design FPGA Implementation Results Conclusion

Memory Footprint Reduction

Trading accuracy to performance.

Memory Footprint Reduction: Evaluation

- Baseline: PyTorch pre-trained pre-build AlexNet model
- Inferencing 2500 pre-transformed Kaggle cats & dogs images
- Top-1 error rate
- MATLAB implementation used
- PyTorch & C/C++ do not support half-floating point

Memory Footprint Reduction: Floating Point

Convert 32-bit floats to their closest representation.

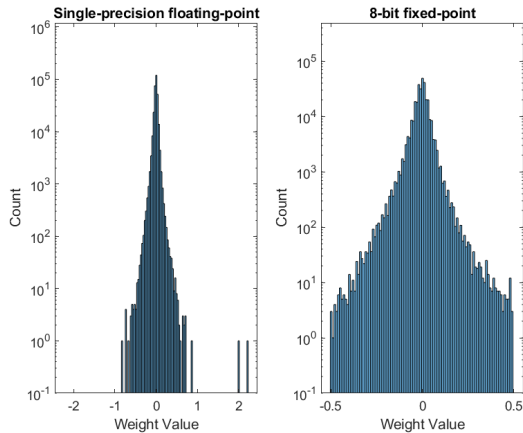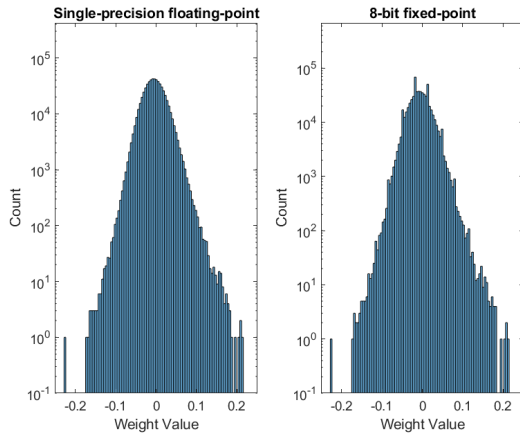| Tool	Data type	Top-1 Error rate (%)	Avg. inference time (sec)
PyTorch	float64	0	0.091
PyTorch	float32	0	0.034
MATLAB	float64	0	6.624
MATLAB	float32	0	8.162
MATLAB	float16	0.36	147.480

Memory Footprint Reduction: Fixed Point Parameters

- Convert 32-bit float number sets to fixed-point
- Select best radix-point position to most accurately represent the number set
- Use same scale factor on whole set
- Every layer has its own scale factor

$$\text{Position} = \text{argmin}_{i=0}^{W}[\frac{\sum_{j=1}^{size(S)} |S_j - FixPtConvert(S_j, W, i)|}{size(S)}]$$

Memory Footprint Reduction: Fixed Point Parameters

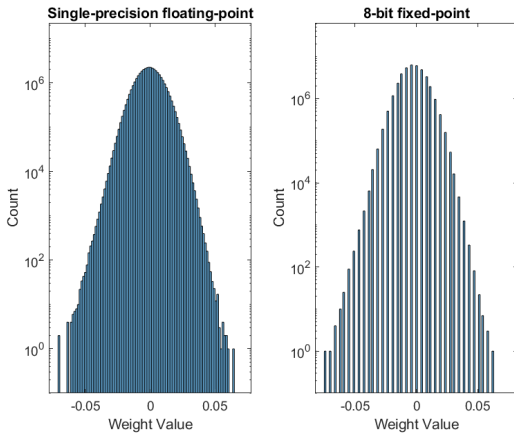| Tool	Data type	Top-1 Error rate (%)	Avg. inference time (sec)
MATLAB	fixed64	0	7.318
MATLAB	fixed32	0	7.692
MATLAB	fixed16	22	6.650
MATLAB	fixed14	28.44	6.813
MATLAB	fixed12	36.24	6.797
MATLAB	fixed10	77.07	6.929
MATLAB	fixed8	100	6.312

Memory Footprint Reduction: Fixed Point Parameters

Histogram limits significantly altered

Memory Footprint Reduction: Fixed Point Parameters

Significant spiking

Memory Footprint Reduction: Fixed Point Parameters

Severe subsampling

Memory Footprint Reduction: Fixed Point Parameters

Use Mean Squared Error (MSE)

$$\text{Position} = \text{argmin}_{i=0}^{32}\left[\frac{\sum_{j=1}^{size(S)} |S_j - \text{FixPtConvert}(S_j, W, i)|^2}{size(S)}\right]$$

Use Mean Quarted Error (MQE)

$$\text{Position} = \text{argmin}_{i=0}^{32}\left[\frac{\sum_{j=1}^{size(S)} |S_j - \text{FixPtConvert}(S_j, W, i)|^4}{size(S)}\right]$$
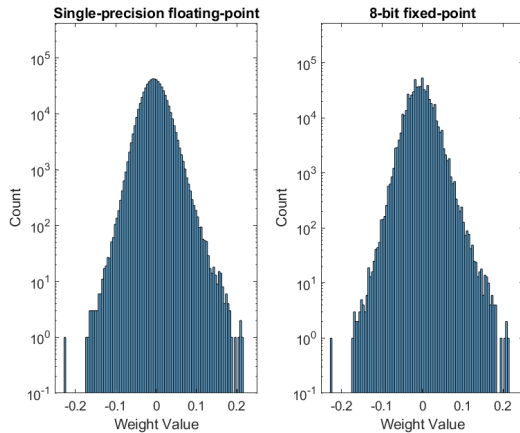
Memory Footprint Reduction: Fixed Point MQE

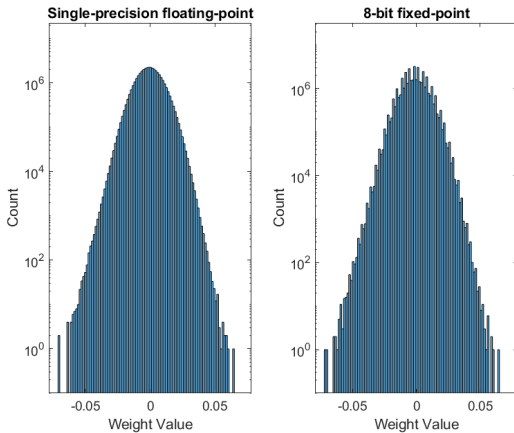| Tool	Data type	Top-1 Error rate (%)
MATLAB	fixed64	0
MATLAB	fixed32	0
MATLAB	fixed16	4.42
MATLAB	fixed14	17.59
MATLAB	fixed12	48.11
MATLAB	fixed10	86.91
MATLAB	fixed8	99.3

Memory Footprint Reduction: Fixed Point Parameters

Histogram limits identical

Memory Footprint Reduction: Fixed Point Parameters
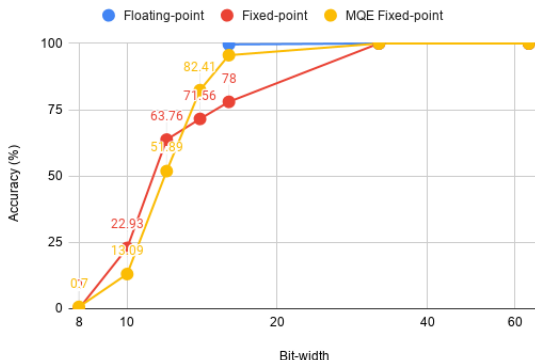

Slight spiking

Memory Footprint Reduction: Fixed Point Parameters

No subsampling, but spiking

Memory Footprint Reduction: All data types tested

- Accuracy degradation is expected
- Model dependent
- Training dependent

Memory Footprint Reduction: Fixed Point Activations

- Floating-point arithmetic scales automatically
- Fixed-point activations may overflow
- Quantize every layer outputs
- Keep the upper N most significant bits to retain accuracy
- Finding on runtime the uppermost on is computationally intensive $\rightarrow$ any fixed-point benefits get obsolete

Memory Footprint Reduction: Fixed Point Activations

Calculate optimal activation scale factor per layer.

$$\text{Theoretical}_{\text{bitWidth}} = \text{input}_{\text{bitWidth}} + \text{weight}_{\text{bitWidth}} + \lceil \log_2 \#\text{Additions} \rceil$$

Memory Footprint Reduction: Fixed Point Activations

Layer	Theoretical bit-width	Practical bit-width
Input	8	8
Conv1	25	17
Conv2	27	14
Conv3	27	15
Conv4	28	15
Conv5	28	17
FC1	30	17
FC2	28	17
FC3	28	17

- Theoretical worse case scenario significantly differs from practical
- Inference 2000 images, find maximum absolute valued activation per layer
- Maximum theoretical bit-width is 30-bits $\rightarrow$ all activations fit in 32-bit integers before quantization

Memory Footprint Reduction: Fixed Point Activations

Layer	Weights	Bias	Output
Input	-7	-	-
Conv1	-7	-5	-2
Conv2	-5	-7	0
Conv3	-7	-7	3
Conv4	-8	-6	5
Conv5	-9	-5	10
FC1	-10	-10	15
FC2	-10	-9	19
FC3	-9	-9	23

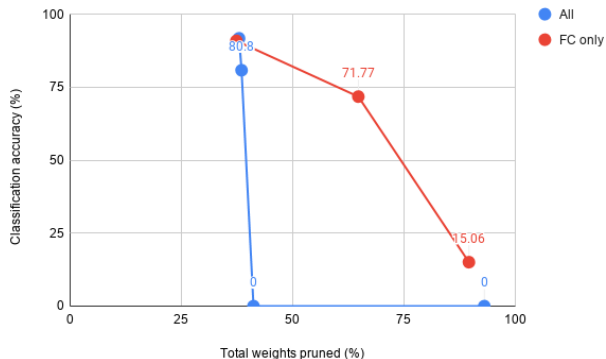Optimal scale factor per layer.

Weight Pruning

- Biological brain phase from birth until mid-20s
- Network compression
- Weak weights get pruned $\rightarrow w\epsilon[-f, f] = 0$, f: pruning factor
- Calculations can get skipped
- Higher memory & power efficiency & inference performance
- Accuracy-Performance tradeoff
- Weight pruning amount varies per network
- Global pruning factor: Not a good idea!
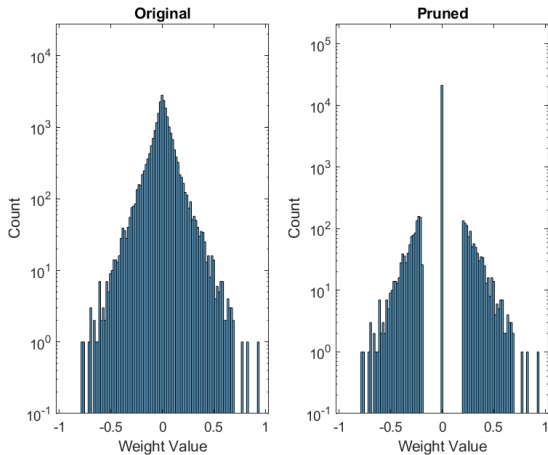
Weight Pruning

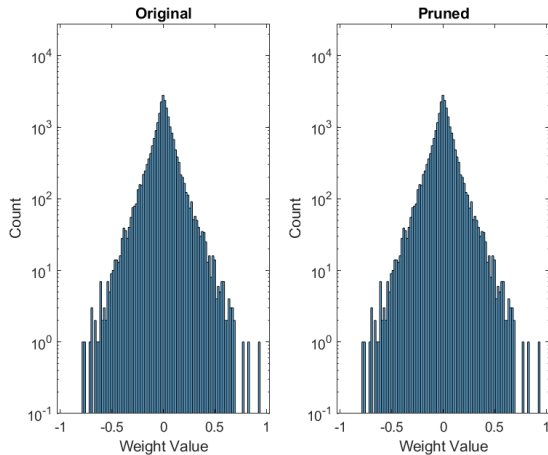| Layer	Test 1	Test 2	Test 3	Test 4	Test 5	Test 6	Test 7
Conv1 (%)	7.15	13.66	91.3	91.3	0	0	0
Conv2 (%)	13.82	26.9	95.83	95.83	0	0	0
Conv3 (%)	13.54	26.63	98.62	98.62	0	0	0
Conv4 (%)	15.32	29.99	93.14	93.14	0	0	0
Conv5 (%)	15.55	30.53	94.02	94.02	0	0	0
FC1 (%)	41.23	41.23	41.23	94.48	41.23	71.89	96.61
FC2 (%)	36.69	36.69	36.69	90.61	36.69	62.52	90.61
FC3 (%)	27.27	27.27	27.27	89.68	27.27	47.74	75.56
Total (%)	37.97	38.54	41.22	93.11	37.38	64.79	89.65
Accuracy (%)	91.74	80.8	0	0	90.87	71.77	15.06

Weight Pruning

- Less Pruning → Higher Accuracy
- Convolution layers more prone to error
- Pruning also denoises - Low valued weights act as noise

Weight Pruning

- Aggressive pruning
- High concentration of zeroes
- High compression factor
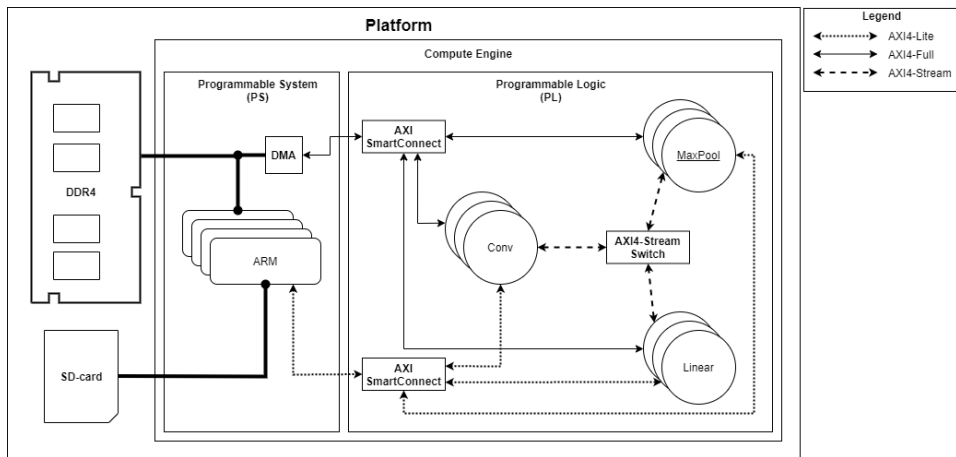- Severe absence of near-to-zero valued weights

Weight Pruning

- Fine-tuned pruning
- Normal concentration of zeroes
- No discontinuation

Architecture Design

The platform

- Targeted for FPGAs
- Flexible & Versatile → easy transfer
- Scalable → multi-FPGA platforms
- Expandable → Easy adding of new layer types & accelerators
- Capable of running various CNN models
- Easy experimentation & development
- Minor to no code changes

Platform Block Diagram

Platform: Non-Volatile Memory

- Storage Medium
- Network model configurations
- Weights & Biases
- Class labels
- Input data, e.g. Images
- SD card - M.2 SSD (QFDB)
- External storage devices via Ethernet & JTAG

Platform: Volatile Memory

- Main system memory: DDR
- DDR loaded using PS part
- No global BRAM module
- Accelerators: BRAM caches
- Accelerators responsible to load their BRAM & locate data on DDR
- BRAM caches are private to their accelerator

Platform: Compute Engine

- Both PS & PL part utilized
- Bulk of computation on PL part through hardware accelerators
- Sophisticated work on PS part → Initialization, Data loading, Input data preprocessing, accelerator configuration & scheduling
- Network layers both software & hardware
- Convolution, Max-Pooling & Fully-Connected accelerators
- Accelerator driver only knows how to configure it
- Driver is reusable

Platform: I/O

- Memory-Mapped I/O (MMIO)
- Streaming (AXI4-stream)
- BRAM MMIO - Not used

Port bit-width	MMIO avg. cycles	Streaming avg. cycles
32-bit	62700922	65611580
128-bit	15761270	16201797

40MB data - 40KB bursts

Platform: Software

- Accelerator drivers - Abstract form, every accelerator implements same functions
- Scheduler
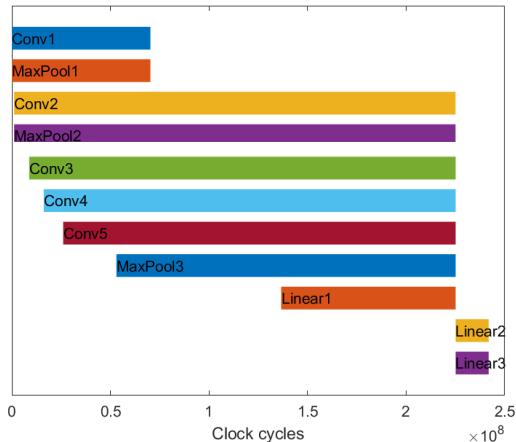- Application Logic
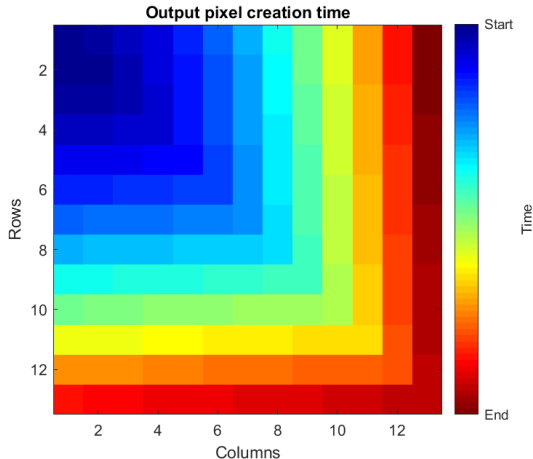- User Interface

Platform: Software Flowchart

Platform: Serial Scheduler

- Simple
- Best suited for debugging & validating
- About 90% of total inference time is consumed by convolution layers
- Possibility for deadlocks

Platform: Layer Pipelining Scheduler

- A layer gets its input as soon as its previous generates a single output
- Accelerator instances needed as many as there are in the model
- Almost 3x speedup
- Decreases latency & increases throughput
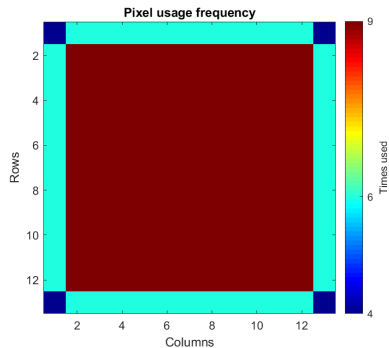- Accelerators need to support pipelining
- Relatively complex

Output Pixel Creation Time

Output pixel creation time

- Outputs generated in specific order to become useful inputs
- Convolution layers use cubes of inputs
- Max-Pooling layers use squares of inputs

Pixel Usage Frequency

Larger BRAM caches required

Platform: Multi-Inference Scheduler

- Multiple accelerator instances
- Multiple inferences in parallel
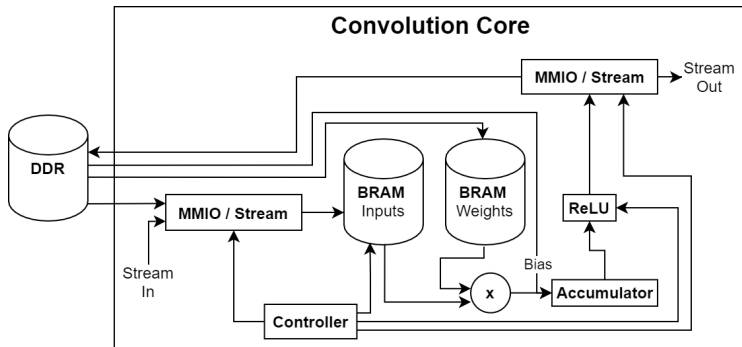- Increases batch size & throughput
- Possibility for deadlocks

Platform: Image-Pipelining Scheduler

- Combination of Layer Pipelining & Multi-Inference
- Every layer handles a different input image
- Decreases latency & increases throughput
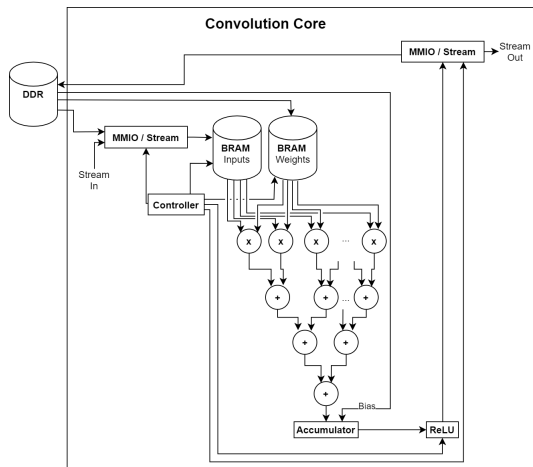- Possibility for deadlocks

Accelerator Architectures

- Two versions: Simple serial & High performance
- Many have been tested
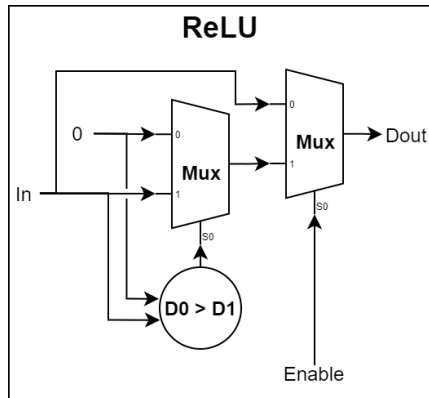- Convolution layer
- Max-Pooling layer
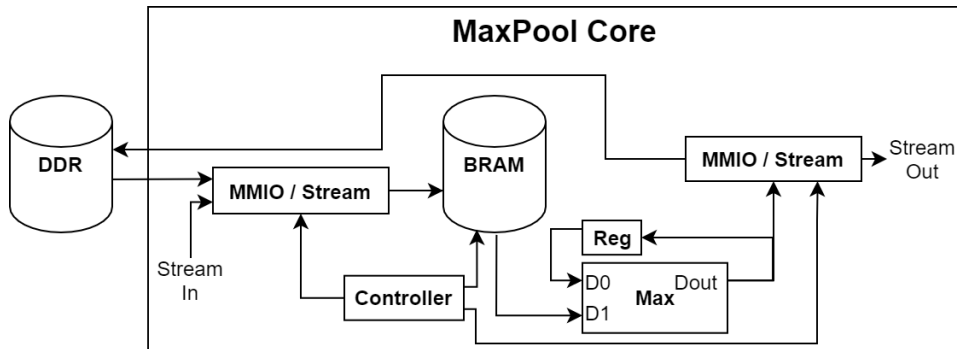- Fully-Connected layer

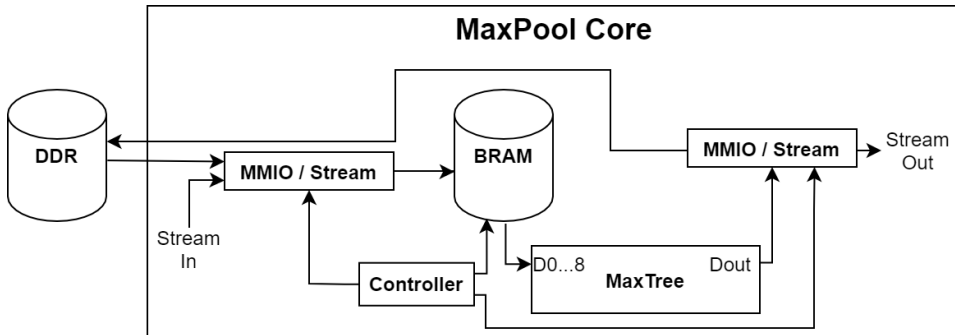## Convolution Accelerator

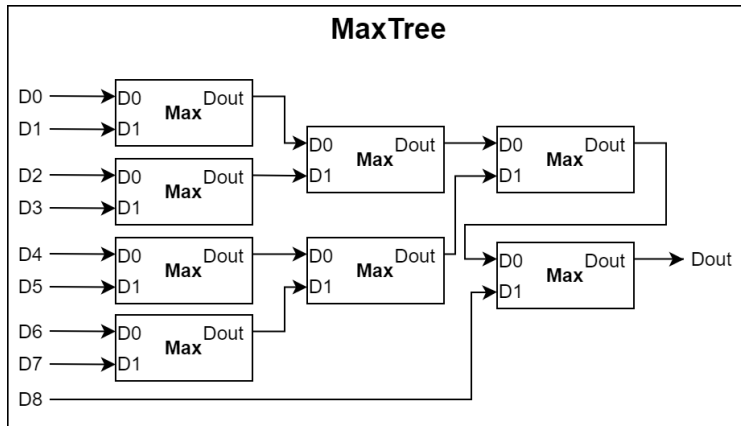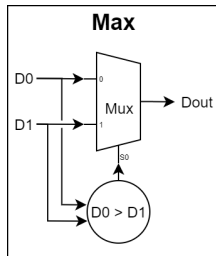## Convolution Accelerator

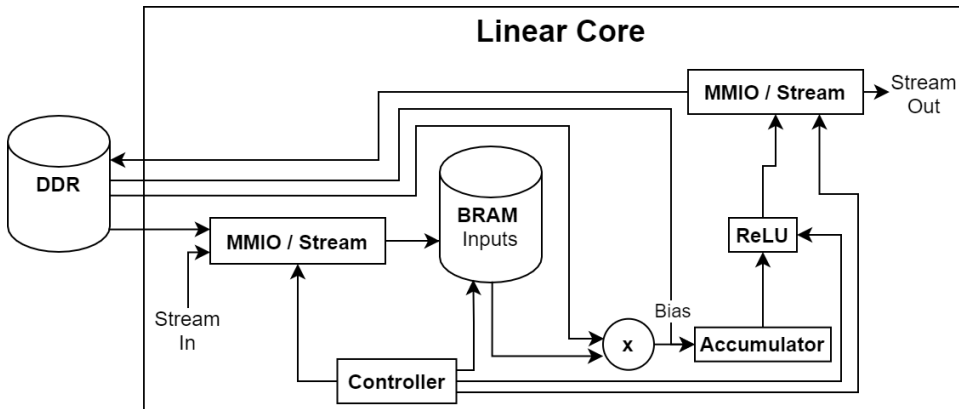## ReLU component

Max-Pooling Accelerator
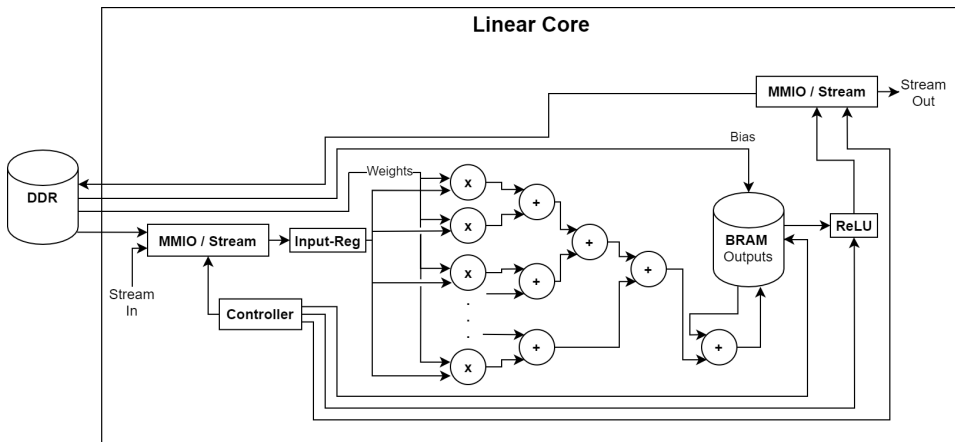
Max-Pooling Accelerator

Max & Max-Tree components

Fully-Connected Accelerator

Fully-Connected Accelerator

FPGA Implementation

Xilinx ZCU102 Evaluation Kit

Tools Used: Xilinx Vivado HLS

- Now Vitis HLS
- High-level design using C/C++, SystemC, OpenCL
- Generates VHDL & Verilog HDL designs
- Directives
- C/C++ testbench
- C/RTL Cosimulation
- Synthesis Report

Tools Used: Xilinx Vivado IDE

- VHDL & Verilog
- IP Integrator Tool
- Vivado HLS RTL designs
- Synthesis, Implementation & Download RTL designs
- RTL Simulators & Integrated Logic Analyzer IPs

Tools Used: Xilinx SDK/Vitis IDE
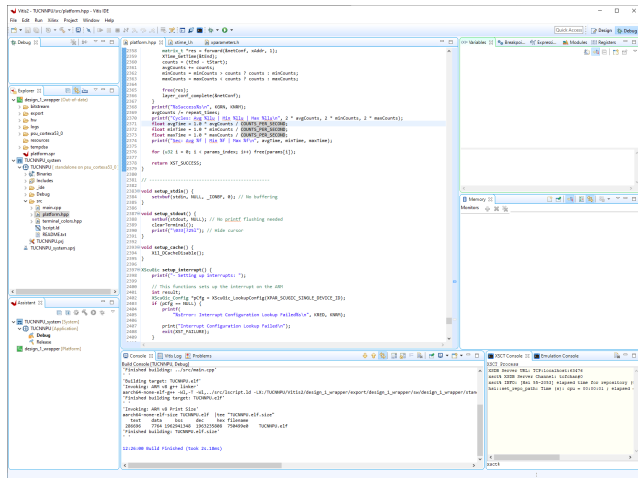

- Vitis IDE integrates SDK, SDAccel, SDSoC tools
- C/C++ IDE
- Application development for PS part
- PetaLinux & FreeRTOS
- Download bitstreams
- Debugging tools

Diploma Thesis

Introduction   Neural Networks   Related Work   Theoretical Modeling   Architecture Design   FPGA Implementation   **Results**   Conclusion

Results

Compared Platforms: CPU

Intel i7 4710MQ

Cores / Threads	4/8
Max Turbo Frequency	3.5GHz
TDP	47W
Max Memory Bandwidth	25.6GB/s
Lithography	22nm

Compared Platforms: GPU

NVIDIA RTX-2060 Super 8GB

CUDA Cores	2176
Tensor Cores	32
GPU Memory	8GB GDDR6
Boost Clock	1650 MHz
Memory Interface	256-bit
Memory Bandwidth	448GB/s
Power Consumption	175W

Compared Platforms: FPGA

Xilinx CHaiDNN

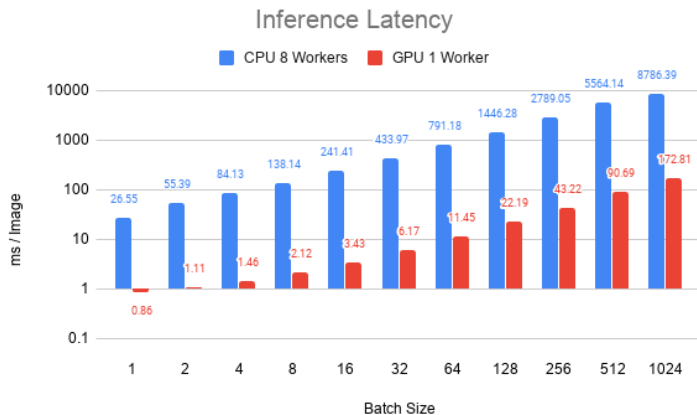|	
PL/DSP Clock Frequency	250/500 MHz
LUT Usage	59.1%
FF Usage	27.66%
BRAM Usage	74.12%
DSP Usage	53.65%

Compared Platforms: FPGA

Proposed Platform

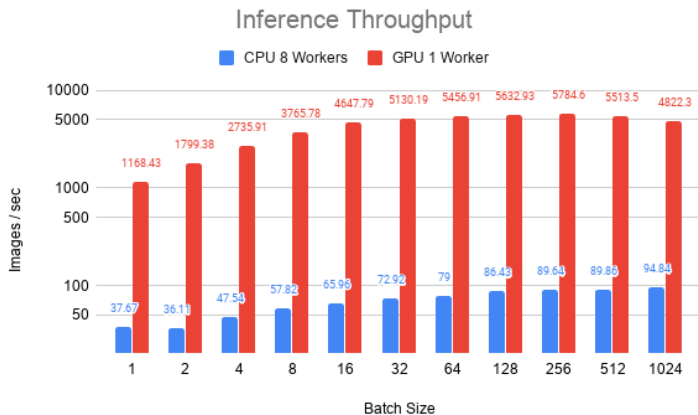|	
Clock Frequency (MHz)	300MHz
LUT Usage	7.34%
LUTRAM Usage	2.05%
FF Usage	4.03%
BRAM Usage	7.51%
DSP Usage	1.9%

CPU & GPU Performance

- Inference 2500 images
- Use all worker & batch-size combinations
- PyTorch pre-built pre-trained AlexNet
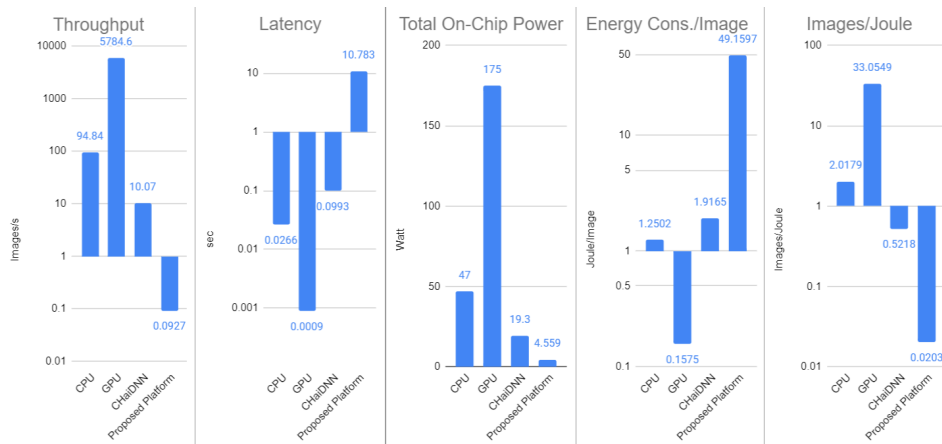
CPU & GPU Performance: Latency

Inference Latency

CPU & GPU Performance: Throughput

Inference Throughput

■ CPU 8 Workers   ■ GPU 1 Worker

Final Performance

	CPU	GPU	CHaiDNN	Proposed Platform
Clock Frequency (MHz)	3500	1650	250/500	300
Throughput (Images/s)	94.84	5784.6	10.07	0.0927
Throughput Speedup	1x	60.9933x	0.1062x	0.001x
Latency (s)	0.0266	0.0009	0.0993	10.783
Latency Speedup	1x	29.5556x	0.2679x	0.0025x
Total On-Chip Power (Watt)	47	175	19.3	4.559
Power Efficiency	1x	0.2686x	2.4352x	10.3093x
Energy Cons./Image (Joule)	1.2502	0.1575	1.9165	49.1597
Energy Efficiency	1x	7.9378x	0.6523x	0.0254x
Images/Joule	2.0179	33.0549	0.5218	0.0203

Final Performance

Conclusions & Future Work

Conclusions

- Neural Networks need hardware acceleration
- Proposed platform provides an easy and structured methodology for scalable & expandable accelerator implementation
- Memory reduction is a necessity
- Further development $\rightarrow$ higher performance

Future Work

- Quantization: better classification accuracy, K-Means clustering, Lloyd's, Pair and Quad compression, and Second Level Codebook
- Integrating the pooling layer into the convolution layer
- Pruning enabled accelerators
- Systolic arrays as their main compute engine
- Multiple accelerator instances

Future Work

- Layer-Pipelining
- Bigger FPGA devices & multiple interconnected FPGAs (FORTH QFDB & CRDB).
- Monte Carlo Dropout for increased confidence of classification results
- Designs using VHDL & Verilog for resource & performance optimization
- CPU-FPGA partitioning

Thank You!
Any Questions?